



Prudential Communication 9 of 2024

2024 List of planned Prudential Authority surveys and questionnaires

Objective of this Prudential Communication

This Prudential Communication provides the list of surveys and questionnaires that the Prudential Authority is planning to request the regulated financial institutions to submit during the 2024 calendar period

1. Application

- 1.1. This Prudential Communication is applicable to all financial institutions supervised by the Prudential Authority (PA).

2. Purpose

- 2.1. The purpose of the Prudential Communication is to share the list of surveys and questionnaires planned by the PA for 2024 to allow financial institutions to adequately plan and allocate their resources accordingly.

3. Introduction

- 3.1. From time to time, the PA identifies areas of focus as informed by emerging risks, themes, and trends. Some of these may be taken forward as *Flavour of the year* or *Thematic reviews* priorities and topics.
- 3.2. The PA uses various channels to solicit the required information for analysing against these priorities. For the 2024 supervisory calendar, the PA will discuss these topics within prudential meetings to be held with the regulated financial institutions' governing body members as well as heads of control functions.
- 3.3. Some information will be solicited through questionnaires and surveys as a way of assessing the posture of the regulated institutions per priority, topic, or theme.
- 3.4. The outcome of the various assessments, will in turn, inform the refinement and / or development of regulatory instruments and guidance.
- 3.5. There may also be ad hoc requests that may emerge either internally within the PA, or externally from international bodies, which the PA will need to assess by forwarding information requests to the targeted regulated institutions where such work is deemed crucial to the mandate of the PA.

4. Planned surveys and questionnaires

4.1. The PA's planned surveys and questionnaires can be found in the attached document.

5. Communication

5.1. The relevant PA teams will communicate with the impacted regulated institutions based on the estimated dates in the abovementioned list.

5.2. Any enquiries regarding this communication must be directed to PA-INFO@resbank.co.za

Fundi Tshazibana
Chief Executive Officer

Date:

Encl.